

PRECIOUS METAL REFINING SERVICES, INC.

Where Your Business is Precious to Us!

The USA PATRIOT Act of 2001 requires financial institutions, including dealers in precious metals, to establish an anti-money laundering program to deter and detect money laundering and the financing of terrorism. Precious Metal Refining Services, Inc., (“PMRS”) supports the purpose of the Act, and appreciates your assistance on meeting its requirements.

By transacting business with PMRS, Customer agrees to the USA PATRIOT Act provisions, including specifically that Customer:

- a. has a written anti-money laundering program that complies with the USA PATRIOT Act and the Bank Secrecy Act, or
- b. is not a “dealer in precious metals, stones and jewels” as defined by the USA PATRIOT Act, or
- c. is exempt from compliance therewith by one of the following:

Retailer exemption: Retailers that purchase only from other dealers who follow a U.S. anti-money laundering program are exempt from compliance. Additionally, retailers who purchase less than \$50,000 of covered goods from non-U.S. dealers and members of the general public are exempt from compliance.

Pawnbroker exemption: Businesses properly licensed and registered as pawnbrokers under state or municipal law are specifically exempted from the Interim Final Rule’s definition of “dealer”, and are not required to comply.

Furthermore, customer, by signing this acknowledgement, represents and warrants that: (a) none of the Material it provides to PMRS will originate from, relate to, further, or be involved or derived in any way from any type of activity that is criminal, illegal, illicit, or otherwise prohibited; (b) the country of origin for all materials shipped to PMRS is the United States of America; (c) Customer has complied with all applicable holding and reporting requirements relating to the Material; and (d) that all information provided is true and accurate, and certifies that the indicated business either has in place a written anti-money laundering program or is exempt from this requirement.

Company Name

Printed Name of Authorized Representative

Title

Signature of Authorized Representative

Date

Please mail or fax these completed forms to the address below, or return the completed forms with your next shipment of material. Thank you for your cooperation in this matter.

1531 South Grove Avenue - Suite 104 - Barrington, Illinois 60010
(800)323-9785 x 8819 Toll Free (847)756-2700 x 8819 Phone (847)756-4133 Fax
www.pmrs-refining.com

PRECIOUS METAL REFINING SERVICES, INC.

Where Your Business is Precious to Us!

PMRS AML INFORMATION SHEET

Rev 102011

Type of Business (check one)

- Pawn Shop Jewelry Repair Jewelry Manufacturer Jewelry Retail Coin Dealer
 Collector/Broker Gold Buyer Antique Dealer Other

General Business Information

Full Legal Name of Business _____

Type of Organization: Corp. Partnership LLC Sole Prop.

Business Address _____

Business Phone _____

Business Email _____

Sales Tax # _____

Federal EIN _____

State of Incorporation _____

Years in business _____

Do you import or export precious metal? Yes No

If yes, please provide additional detail regarding these transactions, including the country of origin and or destination for these transactions:

Trade Organizations of Which You are a Member (check all that apply)

- Jewelers Board of Trade National Pawnbrokers Assoc.
 American Numismatic Association Professional Numismatists Guild
 Manufacturing Jewelers & Suppliers of America
 Independent Jewelers Organization Other: _____

Name of Authorized Representative Completing this Form: _____

Signature _____ Date _____

How did you hear about PMRS?

- Referral Ad/Postcard Sales Rep Other: _____

Please mail or fax these completed forms to the address below, or return the completed forms with your next shipment of material. Thank you for your cooperation in this matter.

1531 South Grove Avenue - Suite 104 - Barrington, Illinois 60010
(800)323-9785 x 8819 Toll Free (847)756-2700 x 8819 Phone (847)756-4133 Fax
www.pmrs-refining.com